

MAPPING THE CONSEQUENCES OF METABOLIC INTERACTIONS BETWEEN HOST AND MICROBIOME ON THE BRAIN

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INTRODUCTION

The gut microbiome, defined by Joshua Lederberg as the sum of the bacterial genomes and their environmental interactions (*Lederberg, 2001*), acts as an extra organ in the mammalian host with a vast capacity for metabolism of chemicals deriving from endogenous mammalian metabolism, nutrients and other xenobiotics. This surrogate organ not only functions to shape the immune system and harvest energy, but is also capable of direct chemical communication and contributes to a wide range of signalling pathways that connect various organs and tissues throughout the body (*Cryan and O'Mahony, 2011; Nicholson et al., 2012*). The communication between the gut and the brain, the so called 'gut-brain axis' is a critical factor in many physiological and

pathological processes. In addition to direct interaction between the brain and enteric nervous system in the gut via the vagus nerve, a great deal of chemical communication occurs and many of the chemicals produced by the gut microbiota are potentially neuroactive including γ -amino butyric acid (GABA), 5-hydroxytryptophan and indoxyl compounds (*Wikoff et al., 2009; Barrett et al., 2012*). Conversely the brain can modulate the behaviour of the microbiota via mechanisms such as stimulation of the endochromaffin cells. This review addresses the influence of the gut microbiota on the brain and central nervous system (CNS) and explores their consequent impact on metabolism under various physiological and pathological conditions.

METABOLIC SIGNATURE OF AUTISM: CONTRIBUTIONS FROM THE MICROBIOME

Autism spectrum disorder (ASD) represents a group of developmental conditions characterised by dysfunction in verbal and non-verbal communication and social interactions and is associated with repetitive behaviour and difficulty in imaginative play in children. First described by Kanner in 1943, autism typically manifests during the first three years of life and is part of the Pervasive Developmental Disorders (PDD) family. It is diagnosed according to the Diagnostic and Statistical

Manual of Mental Disorders (DSM-IV) and there are several clinical scoring scales used for establishing the severity and type of autism (*Faras et al., 2010*). A recent review reports that whilst the conservative estimate of autistic spectrum disorder prevalence is 27.5 per 10,000 individuals, the real prevalence estimate based on newer surveys is 60 per 10,000 individuals, with a 4:1 male-to-female ratio (*Faras et al., 2010*), thus autism is a growing clinical, social and financial concern.

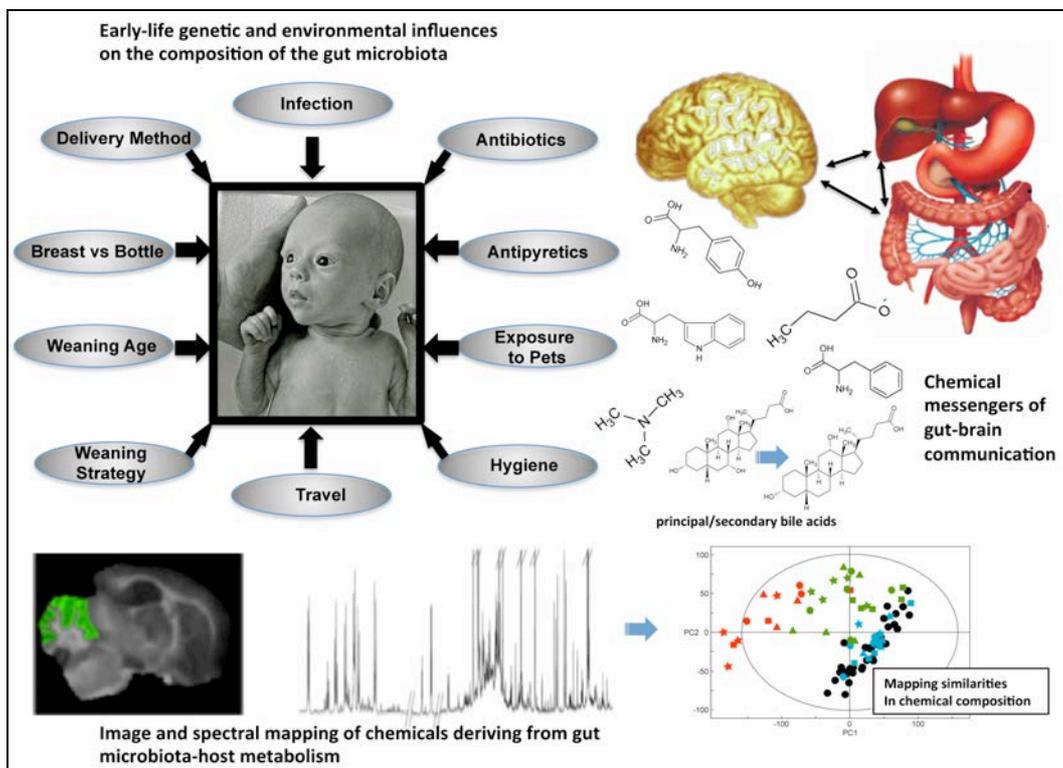


Figure 1: The early life environment influences the composition of the gut microbiota with consequent risk or protection for later-life disease. One of the ways in which the brain and gut are inextricably linked is through chemical dialogue between the gut microbiota and the brain in the form of small molecule messengers such as bile acids, biogenic amines, products of choline degradation and short chain fatty acids. These molecules can be detected in the NMR and MS profiles of urine, plasma, cerebrospinal fluid and other biofluids. The distribution of these chemicals can also be mapped in the brain in order to ascertain their topographical distribution. Computational modelling can be used to efficiently extract and visualise information relating to gut-brain communication and to unravel the profound dependence of humans on their microbiota.

Clinicians, scientists and parents of autistic children have proposed multiple theories regarding the causes of autism. However, only limited clinical data are available and in some cases the data are contradictory and/or inconclusive. Numerous aetiological hypotheses have been postulated including: *In utero* exposure to chemicals such as pesticides, genetic causes (particular association with the X chromosome), oxidative stress, measles, mumps and rubella (MMR) vaccination or its thiomersal carrier, advanced maternal age, planned caesarean section, low Apgar

scores, hyperbilirubinaemia and birth defects (Duchan et al., 2012; Guinchat et al., 2012). Inflammation has also been associated with autism and could be mediated by a defective placenta, immature blood-brain barrier, the immune response of the mother to infection while pregnant, a premature birth, encephalitis in the child after birth, or a toxic environment either *in utero* or in the first few months of life (Faras et al., 2010). Although some of the theories on the causes of autism, such as the MMR vaccine, have been largely discredited, it is evident that ASD repre-

sents a complex set of interrelated conditions and is likely to originate from a highly multifactorial aetiology. This is further supported by the vast array of co-morbidities that typically accompany autism, in particular gastrointestinal dysfunction. Understanding the role of the gut microbiota in autism would undoubtedly offer new therapeutic avenues, regardless of whether the intestinal dysfunction is causal or merely co-incident.

The compositional landscape and functionality of the gut microbiota are determined by a sequence of factors beginning at or before birth. The first large-scale exposure to bacteria occurs during the birth process and continues to be modulated by subsequent exposure to the external environment, feeding method and early life infections. Whilst the early microbial composition is dynamic, this plasticity diminishes over time to form a relatively stable microbiome that persists throughout adulthood. However, it is thought that these early influences on the microbiota can persist and influence disease risk later in life. For example preterm infants have a higher risk of cardiovascular disease, pulmonary disease, metabolic diseases and several psychological and neurodevelopmental conditions including autism (Rogers and Velten, 2011). Metabolic profiling of biofluids and tissues can detect changes in microbial activity via profiling or imaging of microbial products such as cresols, biogenic amines, short chain fatty acids etc. (Figure 1), and represents one means of understanding the relationship between the gut and the brain.

Gastrointestinal (GI) dysfunction is reported in a high percentage of children diagnosed with autism with reported symptoms ranging from diarrhoea to constipation and abdominal bloating and pain. In one study, intesti-

nal permeability was found to be higher in individuals with ASD (36.7%) in comparison with healthy controls (4.8%), whereas ASD participants on a casein free diet did not show this increase in intestinal permeability (de Magistris et al., 2012). Interestingly, family members of autistic children also showed increased intestinal permeability. Given the prevalence of GI dysfunction in children with ASD, there has been growing interest in the role of the gut microbiota, specifically bacterial populations such as the Clostridia, which are known to produce toxins and often flourish in a perturbed gut ecosystem. However, as yet the literature contains few examples of studies in which the microbiome of autistic individuals has been systematically characterised and those that have been reported suffer from a low sample size and poor comparison between autistic children with and without GI dysfunction (Critchfield et al., 2011). Williams and colleagues showed in a study of the mucosal biopsies of 22 children with autism that disaccharidases and hexose transporters were deficient and that this deficiency correlated with the intestinal transcription factor caudal type homeobox 2 (CDX2) that regulates transporters of glucose and other sugars (Williams et al., 2011). This change in transcription factors was correlated with the bacterial profiles of the mucosal samples that showed an increase in the *Firmicute:Bacteroidete* ratio and increased numbers of Betaproteobacteria.

A series of relatively small scale studies have demonstrated that autistic individuals harbour a distinctive faecal microflora compared with that of healthy children (Parracho et al., 2005; Finegold et al., 2010), with autistic children carrying greater numbers of bacteria of the *Clostridium histolyticum* group (Parracho et al., 2005) and *Desulfovibrio* (Finegold et al., 2010)

and manifesting a shift at the phylum level towards an increased *Bacteroidetes:Firmicute* ratio (Finegold et al., 2010). Other bacteria found in high concentrations in the epithelial mucosa of children with ASD associated GI symptoms are the *Sutterella* genus, predominantly *Sutterella wadsworthensis* and *Sutterella stercoricanis* (Williams et al., 2012).

Metabolic profiling studies have also generated indirect evidence of a shift in clostridial species. Urinary excretion of 4-cresyl sulphate is increased in autistic children, and to a lesser extent their siblings (Yap et al., 2010). 4-Cresol is a gut microbial metabolite that can be synthesized by several bacteria including *Clostridium difficile* and *Clostridium scatologens*, and which subsequently undergoes phase II metabolism to the sulphate conjugate with minor amounts of the glucuronide conjugate formed. Children with ASD have also been found to have higher urinary levels of another clostridial metabolite, 3-(3-hydroxyphenyl)-3-hydroxypropionic acid (HPPHA), which is thought to be a metabolite of the tyrosine analogue 3-hydroxyphenylalanine (Shaw, 2010). This metabolite was also found in high levels in the urine of an adult that had experienced repeated *C. difficile* infections (Shaw, 2010).

Other metabolites that characterize the urine of autistic children include bacterial products of choline degradation such as methylamine and dimethylamine, hippurate, phenylacetylglutamine, reinforcing the possible involvement of the microbiota in autism. In contrast, Lis and colleagues reported lower urinary levels of hippurate and 4-hydroxyhippurate in autistic children compared to age matched controls, as measured by ion exchange chromatography (Lis et al., 1976). Thus the relationship between autism and the gut microbiota is not straightforward, like

most other aspects of ASD.

As with the role of the gut microbiota, the involvement of oxidative stress in the aetiology of ASD is similarly ambiguous. Both oxidized glutathione and the ratio of oxidized to reduced glutathione are increased in autism (Ghanizadeh et al., 2012; Frustaci et al., 2012), whilst blood levels of reduced glutathione, glutathione peroxidase and sulphur-containing amino acids cysteine and methionine were found to be reduced in a meta analysis of ASD (Frustaci et al., 2012). There is some evidence that dietary supplementation with glutathione may improve the levels of trans-sulphuration metabolites (Kern et al., 2011).

Low levels of reduced plasma glutathione and sulphur-containing amino acids are consistent with the observation that autistic children are deficient in sulphation capacity (Alberti et al., 1999), thus any intervention that challenges the already impaired capacity for sulphation has the potential to compound the expression of ASD. Acetaminophen use in children has been suggested as a trigger for autism via activation of the endocannabinoid system, which is known to be capable of modulating brain function during development (Schultz, 2010). The primary route of acetaminophen excretion in children is sulphation. In autistic children that are already sulphur deficient, acetaminophen could further deplete already low sulphur pools with subsequent impact on the glutathione pathway. Interestingly the metabolic fate of acetaminophen can be predicted from pre-dose urinary profiles and the most predictive metabolite for high acetaminophen sulphate excretion is 4-cresyl sulphate (Clayton et al., 2009), the synthesis of which has been largely attributed to *Clostridium difficile* and *C. scatologens*. Thus it is possible that the gut microbiota contribute to the deple-

tion of sulphate pools in autistic children by generating phenols and other chemicals that undergo sulphation prior to excretion.

In conclusion, the role of the gut microbiota in ASD is far from clear. Various studies show differential microbial profiles between autistic and healthy children, and the presence of various GI-related co-morbidities points to an association between the microbiome and ASD. The fact that children with ASD tend towards food sensitivity and are typically on numerous therapeutic regimes including con-

trolled diets, chelation agents and a cocktail of drugs such as aripiprazole, altrexone, buspirone, divalproex sodium, lamotrigine, levetiracetam, memantine, mirtazapine, riluzole, pioglitazone, and topiramate (Doyle and McDougale, 2012) confounds the picture as these agents may in themselves induce a change in the gut microbiota. This underscores the requirement for high quality, systematic and controlled studies to be carried out in order to ascertain the true relationship between the gut microbiota and ASD.

INFECTION-INDUCED DISRUPTION OF THE GUT MICROBIOTA-HOST METABOLIC INTERFACE

Both chronic and acute infections have been shown to have profound impact on the gut microbiome. Reduced cognitive function in children in developing countries has been correlated with repeated incidences of infection causing diarrhoea (Oria et al., 2009). Evidence of both direct and indirect influence of the microbiota on the central nervous system (CNS) can be found in the literature. For example, increased anxiety, brought about through viscerosensory signalling from the gastrointestinal tract, can be induced in mice by experimental infection with *Campylobacter jejuni* (Goehler et al., 2007).

The hygiene hypothesis has led to the association of certain autoimmune diseases with infection and bacteria. The onset of these diseases has been linked to bacterially driven immune responses. In an animal model of multiple sclerosis, it has been shown that commensal gut microbiota are necessary for initiating immune responses that result in myelin-specific CD4(+) T cells attacking the CNS (Berer et al., 2011).

Examples in both the insect and in the human world provide evidence that

parasitic infection can alter behaviour. Parasitic hairworms manipulate their cricket host by inducing suicide behaviour, causing the insect to leap into water, where the parasite continues its life cycle (Ponton et al., 2011). Epidemiological studies have found associations between certain helminths, including *Schistosoma mansoni*, *Ascaris lumbricoides* and *Trichuris trichiura*, and cognitive impairment in school age children (Jardim-Botelho et al., 2008; Shang and Tang, 2010) but the mechanism is unknown. Direct contact of a parasite with the CNS can result in overt histological and biochemical changes. Most prominent examples are cerebral manifestations of malaria, due to malaria toxin excretion, excess cytokine secretion and billharziosis-induced granuloma formation caused by sequestration of Schistosome erythrocytes. Serendipitous ectopic worm manifestations in the brain by cestodes, trematodes and protozoa have also been reported to cause lesions and haemorrhages (Walker et al., 2005). However, for most host-parasite interactions involving neurological damage or altered behaviour, the molecular mechanisms

are not well understood.

There is some suggestion that certain changes in brain biochemistry following helminth infection may be associated with dysregulation of the microbiota. The parasitology literature contains several examples of infection-induced disturbances of the microbiota (Wang et al., 2010; Li et al., 2012). Many parasites ranging from *Giardia* to hookworm are associated with gastrointestinal disturbances. It is unsurprising that parasites that reside in the gut should impact upon the microbiota, since they share the same physical environment. However, studies in animal models have shown that several parasites, some of which reside in the blood or in non-gastrointestinal tissues, can modulate the excretion of gut microbial metabolites.

Parasite infections have been shown to perturb several metabolites of the gut microbiota in various animal models and in humans (Wang et al., 2004, 2010). Metabolic profiling studies in humans and animal models have shown modulation of urinary gut microbial metabolites including hippurate, 4-cresyl sulphate and glucuronide, phenylacetyl glycine / glutamine, 4-hy-

droxyphenylacetic acid and 4-hydroxy-3-methy-phenylpropionate acid (Wang et al, 2004, Wang et al, 2010; Balog et al, 2011). In a study investigating the metabolic effects of a foodborne trematode, *Fasciola hepatica*, in a rat model, clear evidence of altered gut microbial metabolism was found in both the urine (decreased hippurate levels and modified bacterial products of choline degradation) and faecal metabolite profiles and, more interestingly, these changes correlated with altered brain biochemistry following infection. Significant perturbations of the nucleotide balance in the brain suggested a shift toward modulation of immune reactions, which could serve to prolong the camouflage of the parasite within the host via minimization of inflammatory damage (Saric et al., 2010).

Thus, it seems that there is a complex tripartite interaction between host, parasite and microbiota mediated by both immunoregulatory mechanisms and direct chemical communication between microbe and host. Moreover, this three-way relationship has system-wide impact, including neurological consequences and warrants deeper interrogation.

METABOLIC EFFECTS OF THE GUT MICROBIOTA IN RELATION TO IMPACT ON MOOD AND BEHAVIOUR

There is a growing body of evidence associating depression and other psychiatric disorders with perturbed gut microbiota. The cytokine hypothesis of depression suggests that increased translocation of LPS from Gram-negative bacteria and inflammation are causally associated with clinical depression and neurodegeneration (Qin et al., 2007; Maes, 2008). In another stress-induced rat model of depression associated with leaky gut, the administration of *Lactobacillus farciminis*

was shown to reverse the stress-induced hyperpermeability and behavioural changes (Ait-Belgnaoui et al., 2012) In addition to producing LPS and other neurotoxic chemicals, the gut bacteria can synthesize metabolites such as pyrogallol and urolithins from dietary polyphenols that are neuroprotective and can counteract the effects of diabetes-induced neurodegeneration (Verzelli et al., 2011).

Gut microbial metabolites found in urine and other biofluids in animal and

human studies of depression also point to the involvement of the gut bacteria in behaviour. An LC-MS profiling study of a rat model of depression found elevated urinary levels of kynurenic acid, hippurate, phenylacetyl-glycine and xanthurenic acid with lower levels of tryptophan, indoxyl sulphate, indole-3-acetate and tricarboxylic acid cycle intermediates in rats challenged by chronic unpredictable mild stress suggesting that gut microbial metabolism and modulation of the TCA cycle were key components of this model of depression (Zheng et al., 2009). Interferon- α administration has been associated with depressive disorders in cancer or hepatitis-C patients treated with interferon- α (Raison et al., 2006). Interferon- α induced activation of the tryptophan degrading enzyme indoleamine 2,3-dioxygenase results in the generation of quinolinic acid and other neuroactive metabolites, which are thought to relate to depression. In a bacterially-induced animal model of depression, induced by inoculation with *Bacillus Calmette-Guérin* (BCG), administration of indoleamine 2,3-dioxygenase resulted in increased plasma concentrations of the kynurenine:tryptophan ratio (O'Connor et al., 2009).

Examples of the effect of microbiota on cognition are scattered throughout the literature. As previously mentioned, repeated diarrhoeal infections have been associated with detrimental effects on cognition in children. Similarly cirrhotic patients with encephalopathy show poor cognition, which is correlated with *Veillonellaceae* in faecal material (Bajaj et al., 2012). Higher faecal levels of *Enterobacteriaceae*, *Alcaligenaceae* and *Fusobacteriaceae* with lower levels of *Ruminococcaceae* and *Lachnospiraceae* were also found in cirrhotic patients.

There is increasing acceptance of the fact that surgery *per se* can impose

short-term or long-term consequences on mood, behaviour and cognition. Several analyses of patients undergoing coronary artery bypass graft surgery (CABG) have indicated that neurological complications can include stroke, depression or mild cognitive decline reflected by short-term memory loss or psychomotor slowing (Hawkes et al., 2006). The cause of these neurological effects is not well understood but surgical trauma, genetic susceptibility, inter-operative or post-operative ischaemia and body temperature during surgery have all been proposed as causal factors (Hawkes et al., 2006). Probiotics and synbiotics (a combination of pre- and probiotics) have been used to reduce the incidence of post-operative sepsis after elective surgery and to counteract the effect of antibiotics, typically administered as part of surgical procedures (Kinross et al., 2012). Prebiotics have also been shown to influence anxiety and mood. For example, Silk and colleagues showed that administration of a trans-galacto-oligosaccharide enhanced faecal bifidobacteria and improved anxiety and depression symptoms in patients with irritable bowel syndrome (Silk et al., 2009). Similarly probiotics such as lactobacilli have been reported to regulate emotional behaviour in mice through vagus nerve stimulated modulation of GABA receptor expression (Bravo et al., 2011).

Obesity has been associated with poor cognitive function, particularly in executive function (Lokken et al., 2010). There is controversy regarding the effect of weight loss surgery (bariatric surgery) on cognition and memory with some suggestion that bariatric surgery can cause memory impairment and depression. An animal model of gastric restriction was able to show structural alterations in the hippocampus (Sonoda et al., 2011). The mecha-

nisms of bariatric surgery-induced weight loss are known to involve alteration of gastrointestinal hormones, which are important in appetite regulation. Several gastrointestinal hormones can contribute to obesity by modulating the activity of the gut-brain axis. Recently we have characterized the metabolic response of rats undergoing RYGB surgery using high resolution spectroscopic profiling and integrated

the response with the post surgical change in the microbiome and shown a dramatic shift towards the gamma-proteobacteria. The surgery also resulted in a modulation of gut microbial metabolites, some of which were neuroactive. Increased urinary cresols, phenylacetyl glycine and indoxylsulfate occurred post surgery together with increased production of γ -aminobutyric acid (GABA) (Li et al., 2011).

CONCLUDING REMARKS

The manner in which the gut bacteria influence the CNS largely remains elusive and merits systematic study. The gut and brain use several communication axes involving nerve stimulation, immunological correspondence and direct metabolic interactions in order to maintain homeostasis. The gut microbiota contribute to the communication highway between the gut and the brain and there is enough evidence to implicate the microbiota in various neurodegenerative diseases, behavioural disorders, neurodevelopmental conditions

and cognition. The partnership between culture independent sequencing of the microbiome, the human genome and metabolic profiling of the microbial components of biofluids should help to elucidate the complex interactions of host and microbe and to place this interaction in terms of regulation/dysregulation of the CNS. Understanding this partnership will open new avenues for therapeutic intervention and opportunities for improving disease risk by modulation of the microbiota early in life or even prenatally.

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